



OFFICE OF THE TREASURER-TAX COLLECTOR
SHARI L. FREIDENRICH, CPA, CCMT, CPFA, ACPFIM



TREASURY OVERSIGHT COMMITTEE (TOC) AGENDA

Wednesday, April 24, 2019 at 3:00 p.m.
12 Civic Center Plaza, Conference Room 300, Santa Ana

RICHARD ROHM, Chair

LAURA PARISI, Vice Chair

GARY CAPATA, Member

ALISA BACKSTROM, Member

FRANK KIM, Member

DR. AL MIJARES, Member

ERIC WOOLERY, Member

1. Call to Order

2. Welcome

3. Public Comments

At this time, members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee, provided that NO action may be taken on off-agenda items unless authorized by law.

4. Minutes

- a) Approve January 30, 2019 Minutes

5. New Business

- a) Receive and File Treasurer's Monthly Investment Report for January, February and March
- b) Receive and File March 2019 TOC Compliance Summary
- c) Receive and File Compliance Monitoring of the Treasurer's Investment Portfolio for the Quarter Ended September 30, 2018
- d) Receive and File Report of the Schedule of Assets Held by the County Treasury as of June 30, 2017
- e) Receive and File Report of the Schedule of Assets Held by the County Treasury as of September 30, 2018
- f) Receive and File First Follow-Up Audit: Management Letter on Audit of Schedule of Assets Held by the County Treasury as of June 30, 2016
- g) Receive and File Second Follow-Up Audit: Management Letter on Review of the Schedule of Assets Held by the County Treasury as of September 30, 2016
- h) Receive and File Independent Accountant's Report on Applying Agreed-Upon Procedures Related to Investment Compliance For the Twelve-Month Period Ended December 31, 2016 and the Six-Month Period Ended June 30, 2017
- i) Review and Approve Engagement Letter with Auditor-Controller Internal Audit Division to Provide Investment Policy Statement Quarterly Continuous Compliance Auditing Services for FY 2019-20 for an estimated cost of \$25,000

6. Chair's Report

7. Treasurer's Report

8. Committee Member Comments

At this time, members of the Treasury Oversight Committee may comment on agenda or non-agenda matters and ask questions of or give directions to staff, provide travel or member committee reports provided that NO action may be taken on off-agenda items unless authorized by law.

9. Adjournment to Wednesday, July 31, 2019 at 3:00 P.M., Auditor/Controller's Conf. Room #300