



*Office of Campaign Finance and Ethics Commission*  
**Memorandum**

---

May 3, 2019

To: Chairwoman Lisa Bartlett, Fifth District Supervisor  
Members, Board of Supervisors

From: Denah Hoard, Executive Director

Subject: Campaign Finance and Ethics Commission First Quarter Report

---

Pursuant to O.C.C.O. section 1-2-359, the following document was prepared and submitted to the Campaign Finance and Ethics Commission (Commission) at the April 29, 2019 Commission meeting.

Quarterly Report (1st Quarter): January – March 2019

The above document is submitted to the Board of Supervisors after review and approval by the Commission as required under O.C.C.O. section 1-2-360.

Please do not hesitate to contact me if you have any questions or would like additional information.

Attachment:

Quarterly Report (1st Quarter): January – March 2019

cc: Frank Kim, County Executive Officer  
Leon Page, County Counsel  
Chiefs of Staff

# Quarterly Report

## January - March 2019

Office of Campaign Finance and Ethics Commission



# January—March 2019

Project	Status
Ethics (AB 1234) Training	<p><b>ON GOING</b> — Less than 10 forfeiture letters were sent out to Commission members. More than 300 Commission members were contacted, completed the training and provided compliant training certificates before the December 31 deadline. OCEthics timely submitted a claim in the amount of \$22,500 related to this program under the “Mandated Cost” recovery program.</p>
Newly Elected and Appointed Official and Staff training program	<p><b>ON GOING</b> — Training completed for Supervisor Chaffee and his staff. We have spoken to Supervisor Wagner and determined that training will begin when additional staff is hired.</p>
Campaign Disclosure Review	<p><b>ON GOING</b> — Audits for 2019 have not yet been completed. Audits for two campaign committees remain outstanding due to the magnitude of contributions received in the last election cycle as well as staffing levels.</p>
Education Outreach	<p><b>ON GOING</b>— Education programs have included speaking to the League of Women Voters, County Managers and Procurement employees.</p>

# January—March 2019

Project	Status
Lobbyist Portal/registration	<p><b>ON GOING</b>—Portal project has been transferred to an in-house project. All monetary collection has now been given to Treasurer-Tax Collector while registration is being handled via the Office. Data transfer from lobbyist portal continues. New registrations have increased in 2019 with a confirmed active list of 74 lobbyist. All have paid 2019 registration fees.</p>
Campaign Contribution Database	<p><b>ON GOING</b>—Orange County Information Technology (OCIT) has provided a working database for investigations. Access for public possible via internet is on hold.</p>
Complaint Program	<p><b>ON GOING</b>— A total of 7 complaints have been received through March 2019. None have been within the jurisdiction of this Office. One was referred to the fraud hotline. For further information please see page 5 of this report.</p>

# January –March 2019

<b>Project</b>	<b>Status</b>
Finance and Budget	<b>ON GOING</b> —The Office has now completed the Third Quarter budget estimates as well as the 2019-2020 budget request. These budget estimates/requests go before the Board of Supervisors on April 23rd and June 11th respectively. The budget for OCEthics has not increased since the office was opened.
Advice Tracking System	<b>ON HOLD</b> - A more formal tracking system still needs to be implemented but has been placed on hold due to staffing issues.

Summary of 2019 Complaints:

19-01: Complaint received on 1/11/19 related to agreement between Irvine City Council and Five Point Communities re: Great Park Golf Course. No jurisdiction - no action taken.

19-02: Two complaints received from same complaining party. (1/17/19, 2/5/19) Complaint one relates to Dana Point City Council while complaint 2 relates to TCA. Both complaint are not within jurisdiction. Sent email to complaining party re: TCA complaint to remind party that TCA is not within Office's jurisdiction as indicated in 2017. No further action.

19-03: Complaint received on 1/12/19 related to identity theft, fraud and corruption by Oceanside Police Department and City Council. No jurisdiction - no action taken.

19-04: Complaint received on 1/28/19 alleging racist comment by County employee. No jurisdiction – no action taken.

19-05: Complaint received on 2/4/19 alleging County vehicle parked in handicapped parking spot while at Starbucks. Provided party the Fraud hotline and online reporting instructions. No jurisdiction so no further action taken.

19-06: Request related to public records request made to Toll Roads Agency. Received on 1/31/19. No jurisdiction so no further action taken.

19-07: Complaint received on 4/8/19 related to toxic black mold and two non-County employees mistreating complaining party. Personally spoke to complaining party and she indicated she had place to stay and needed job training. Provided address of County job training center nearest to her physical address. No jurisdiction – no further action.